



Section I: Contents

This section contains Weiss Investment Ratings, key rating factors, and summary financial data for 18,000 stock mutual funds. Funds are listed in alphabetical order.

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Fund Name

Describes the fund's assets, regions of investments and investment strategies. Many funds have similar names, so you want to make sure the fund you look up is really the one you are interested in evaluating. In many cases, the Fund Name indicates the Class of the fund.

The most common Classes are:

- Class A:** This class of Mutual Fund typically has a front-end sales load and a loaded fee structure. Although the fees for Class A may typically be lower, because they normally charge front end fees, your initial amount invested will be lower and your overall return will be affected. An annual asset based fee may well be levied, although this is typically lower than other classes.
- Class B:** This class of shares, instead of a front-end or back-end sales load normally charges fees called a contingent deferred sales charge (CDSC). This fee is paid if you sell the shares within a certain period of time and may well decline after each year. An annual asset based fee is likely to be levied. Often a Class B share will convert to Class A within a couple of years of reaching the end of the CDSC expiry at which point Class A fees will be initiated.
- Class C:** A C-share is a class of mutual fund with a level load. They typically don't have front-end loads, but do sometimes have back-end loads. They are usually around 1% but are sometimes eliminated after the shares are held for over a year. Annual asset based fees are normal for this class of share.
- Class I:** This class is sold to institutional shareholders. Also called Y-Shares, these have different fees and do not charge traditional loads.
- Class R:** R share funds, Also known as K shares, are for retirement accounts, and the R share class mutual funds are only available through employer-sponsored retirement plans. R share mutual funds do not have any loads, but they do have annual asset based fees typically of around 0.25% to 0.50%.

MARKET**Ticker Symbol**

An arrangement of characters (usually letters) representing a particular security listed on an exchange or otherwise traded publicly. When a company issues securities to the public marketplace, it selects an available ticker symbol for its securities which investors use to place trade orders. Every listed security has a unique ticker symbol, facilitating the vast array of trade orders that flow through the financial markets every day. If a ticker symbol is not assigned to a particular fund, the International Securities Identification Number (ISIN) is displayed.

Traded On (Exchange)

The stock exchange on which the fund is listed. The core function of a stock exchange is to ensure fair and orderly trading, as well as efficient dissemination of price information. Exchanges such as: NYSE (New York Stock Exchange), AMEX (American Stock Exchange), NNM (NASDAQ National Market), and NASQ (NASDAQ Small Cap) give companies, governments and other groups a platform to sell securities to the investing public. NASDAQ CM is abbreviated as NAS in this table.

FUND TYPE, CATEGORY & OBJECTIVE**Fund Type**

Describes the fund's assets, regions of investments and investment strategies.

Open End Fund

A type of mutual fund that does not have restrictions on the amount of shares the fund will issue. If demand is high enough, the fund will continue to issue shares no matter how many investors there are. Open-end funds also buy back shares when investors wish to sell.

Closed End Fund

They are launched through an Initial Public Offering in order to raise money and then trade in the open market just like a stock or an ETF. They only issue a set amount of shares and, although their value is also based on the Net Asset Value, the actual price of the fund is affected by supply and demand, allowing it to trade at prices above or below its real value.

Category

Identifies funds according to their actual investment styles as measured by their portfolio holdings. This categorization allows investors to spread their money around in a mix of funds with a variety of risk and return characteristics.

Prospectus Objective

Gives a general idea of a fund's overall investment approach and goals.

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Weiss Ratings

& Greyhound

RATINGS**Overall Rating**

The Weiss rating measured on a scale from A to E based on each fund's risk and performance. See the preceding section, "What Our Ratings Mean," for an explanation of each letter grade rating.

Reward Rating

This is based on the total return over a period of up to five years, including net asset value and price growth. The total return figure is stated net of the expenses and fees charged by the fund. Based on proprietary modeling the individual components of the risk and reward ratings are calculated and weighted and the final rating is generated.

Risk Rating

This includes the risk ratings of component stocks where applicable and also includes the financial stability of the fund, turnover where applicable, together with the level of volatility as measured by the fund's daily returns over a period of up to five years. Funds with greater stability are considered less risky and receive a higher risk rating. Funds with greater volatility are considered riskier, and will receive a lower risk rating. In addition to considering the fund's volatility, the risk rating also considers an assessment of the valuation and quality of a fund's holdings.

Recent Upgrade/Downgrade

An "Up" or "Down" indicates that the Weiss Mutual Fund rating has changed since the publication of the last print edition. If a fund has had a rating change since December 31, 2022, the change is identified with an "Up" or "Down."

MINIMUMS**Open to New Investors**

Indicates whether the fund accepts investments from those who are not existing investors. A "Y" in this column identifies that the fund accepts new investors. No data in this column indicates that the fund is closed to new investors. The fund may be closed to new investors because the fund's asset base is getting too large to effectively execute its investing style. Although, the fund may be closed, in most cases, existing investors are able to add to their holdings.

Minimum Initial Investment

The smallest investment amount a fund will accept to establish a new account. This amount could be \$0 or any other number set by the fund.

Right Pages**MINIMUMS (continued)****Minimum Additional Investment**

The smallest additional investment amount a fund will accept in an existing account.

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TOTAL RETURNS

3-Month Total Return

The rate of return on an investment over three months that includes interest, capital gains, dividends and distributions realized.

6-Month Total Return

The rate of return on an investment over six months that includes interest, capital gains, dividends and distributions realized.

1-Year Total Return

The rate of return on an investment over one year that includes interest, capital gains, dividends and distributions realized.

3-Year Total Return

The rate of return on an investment over three years that includes interest, capital gains, dividends and distributions realized.

5-Year Total Return

The rate of return on an investment over five years that includes interest, capital gains, dividends and distributions realized.

PERFORMANCE

Dividend Yield (TTM)

Trailing twelve months dividends paid out relative to the share price. Expressed as a percentage and measures how much cash flow an investor is getting for each invested dollar. **Trailing Twelve Months (TTM)** is a representation of a fund's financial performance over the most recent 12 months. TTM uses the latest available financial data from a company's interim, quarterly or annual reports.

Expense Ratio

A measure of what it costs an investment company to operate a mutual fund. An expense ratio is determined through an annual calculation, where a fund's operating expenses are divided by the average dollar value of its assets under management. Operating expenses may include money spent on administration and management of the fund, advertising, etc. An expense ratio of 1 percent per annum means that each year 1 percent of the fund's total assets will be used to cover expenses.

3-Year Standard Deviation

A statistical measurement of dispersion about an average, which depicts how widely the returns varied over the past three years. Investors use the standard deviation of historical performance to try to predict the range of returns that are most likely for a given fund. When a fund has a high standard deviation, the predicted range of performance is wide, implying greater volatility. Standard deviation is most appropriate for measuring risk if it is for a fund that is an investor's only holding. The figure cannot be combined for more than one fund because the standard deviation for a portfolio of multiple funds is a function of not only the individual standard deviations, but also of the degree of correlation among the funds' returns. If a fund's returns follow a normal distribution, then approximately 68 percent of the time they will fall within



one standard deviation of the mean return for the fund, and 95 percent of the time within two standard deviations.

3-Year Beta

A three-year measure of volatility, or systematic risk, of a security in comparison to the market as a whole. A beta of less than 1 means that the security will be less volatile than the market, a beta larger than 1 means more volatility. Beta value cannot be calculated if less than 24 months of pricing is available.

ASSETS

NAV (Net Asset Value)

A fund's price per share. The value is calculated by dividing the total value of all the securities in the portfolio, less any liabilities, by the number of fund shares outstanding.

Total Assets (MIL)

The total of all assets listed on the institution's balance sheet. This figure primarily consists of loans, investments, and fixed assets. Total Assets are displayed in millions.

ASSET ALLOCATION & TURNOVER

Asset Allocation

Indicates the percentage of assets in each category. Used as an investment strategy that attempts to balance risk versus reward by adjusting the percentage of each asset in an investment portfolio according to the investor's risk tolerance, goals and investment time frame. Allocation percentages may not add up to 100%. Negative values reflect short positions.

%Cash

The percentage of the fund's assets invested in short-term obligations, usually less than 90 days, that provide a return in the form of interest payments. This type of investment generally offers a low return compared to other investments but has a low risk level.

%Stocks

The percentage of the fund's assets invested in stock.

%Bonds

The percentage of the fund's assets invested in bonds. A bond is an unsecured debt security issued by companies, municipalities, states and sovereign governments to raise funds. When a company issues a bond it borrows money from the bondholder to boost the business, in exchange the bondholder receives the principal amount back plus the interest on the determined maturity date.

%Other

The percentage of the fund's assets invested in other financial instruments.

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Turnover Ratio

The percentage of a mutual fund or other investment vehicle's holdings that have been replaced with other holdings in a given year. Generally, low turnover ratio is favorable, because high turnover equates to higher brokerage transaction fees, which reduce fund returns.

BULL & BEAR MARKETS

Last Bull Market Total Return

The fund's total return (the rate of return on an investment over a period of time that includes interest, capital gains, dividends and distributions realized) during the last market upswing. A **Bull Market** is a financial market condition in which prices are rising or are expected to rise. Bull markets are characterized by optimism, investor confidence and expectations that strong results will continue.

Last Bear Market Total Return

The fund's total return (the rate of return on an investment over a period of time that includes interest, capital gains, dividends and distributions realized) during the last market downturn. A **Bear Market** is a financial market condition in which the prices of securities are falling, investors anticipate losses, and selling of securities increases.

FEES

Front End Fee (%)

A commission or sales charge applied at the time of the initial purchase of an investment. The fee percentage is generally based on the amount of the investment. Larger investments, both initial and cumulative, generally receive percentage discounts based on the dollar value invested. Fees are displayed as a percent.

Back End Fee (%)

A fee that investors pay when withdrawing money from an investment within a specified number of years, usually five to 10 years. The back-end load is designed to discourage withdrawals and typically declines for each year that a shareholder remains in a fund. The fee is a percentage of the value of the share being sold. Fees are displayed as a percent.

Inception Date

The date on which the fund began its operations. The commencement date indicates when a fund began investing in the market. Many investors prefer funds with longer operating histories. Funds with longer histories have longer track records and can thereby provide investors with a more long-standing picture of their performance.

Fund Name	MARKET		FUND TYPE, CATEGORY & OBJECTIVE		RATINGS			MINIMUMS		
	Ticker Symbol	Traded On	Fund Type	Category and (Prospectus Objective)	Overall Rating	Reward Rating	Risk Rating	Recent Up/Downgrade	Open to New Investors	Min Initial Investment
1290 Essex Small Cap Growth Fund Class A	ESCFX	NAS	Open End	US Equity Small Cap (Small Company)	U	U	U		Y	1,000
1290 Essex Small Cap Growth Fund Class I	ESCJX	NAS	Open End	US Equity Small Cap (Small Company)	U	U	U		Y	1,000,000
1290 Essex Small Cap Growth Fund Class R	ESCCKX	NAS	Open End	US Equity Small Cap (Small Company)	U	U	U		Y	0
1290 GAMCO Small/Mid Cap Value Fund Class A	TNVAX	NAS	Open End	US Equity Small Cap (Growth)	C	C	C		Y	1,000
1290 GAMCO Small/Mid Cap Value Fund Class I	TNVIX	NAS	Open End	US Equity Small Cap (Growth)	C	C	C		Y	1,000,000
1290 GAMCO Small/Mid Cap Value Fund Class R	TNVRX	NAS	Open End	US Equity Small Cap (Growth)	C	C	C-		Y	0
1290 GAMCO Small/Mid Cap Value Fund Class T	TNVCX	NAS	Open End	US Equity Small Cap (Growth)	C	C	C		Y	1,000
1290 Loomis Sayles Multi-Asset Income Fund Class A	TNXAX	NAS	Open End	Cautious Alloc (Asset Alloc)	C	C-	B-		Y	1,000
1290 Loomis Sayles Multi-Asset Income Fund Class I	TNVDX	NAS	Open End	Cautious Alloc (Asset Alloc)	C	C-	B-		Y	1,000,000
1290 Loomis Sayles Multi-Asset Income Fund Class R	TNYRX	NAS	Open End	Cautious Alloc (Asset Alloc)	C	C-	B-		Y	0
1290 Multi-Alternative Strategies Fund Class A	TNMAX	NAS	Open End	Multialternative (Growth)	D	D	C-		Y	1,000
1290 Multi-Alternative Strategies Fund Class I	TNMIX	NAS	Open End	Multialternative (Growth)	D	D	C-		Y	1,000,000
1290 Multi-Alternative Strategies Fund Class R	TNMRX	NAS	Open End	Multialternative (Growth)	D	D	C-		Y	0
1290 Retirement 2020 Fund Class I	TNIIX	NAS	Open End	Target Date (Asset Alloc)	C-	D+	C	Up	Y	1,000,000
1290 Retirement 2025 Fund Class I	TNJIX	NAS	Open End	Target Date (Asset Alloc)	C-	D+	C	Up	Y	1,000,000
1290 Retirement 2030 Fund Class I	TNKIX	NAS	Open End	Target Date (Asset Alloc)	C-	D+	C	Up	Y	1,000,000
1290 Retirement 2035 Fund Class I	TNLIX	NAS	Open End	Target Date (Asset Alloc)	C	C-	C	Up	Y	1,000,000
1290 Retirement 2040 Fund Class I	TNNIX	NAS	Open End	Target Date (Asset Alloc)	C	C-	C	Up	Y	1,000,000
1290 Retirement 2045 Fund Class I	TNOIX	NAS	Open End	Target Date (Asset Alloc)	C	C-	C	Up	Y	1,000,000
1290 Retirement 2050 Fund Class I	TNWIX	NAS	Open End	Target Date (Asset Alloc)	C	C-	C	Up	Y	1,000,000
1290 Retirement 2055 Fund Class I	TNQIX	NAS	Open End	Target Date (Asset Alloc)	C	C-	C	Up	Y	1,000,000
1290 Retirement 2060 Fund Class I	TNXIX	NAS	Open End	Target Date (Asset Alloc)	C	C-	C		Y	1,000,000
1290 SmartBeta Equity Fund Class A	TNBAX	NAS	Open End	Global Equity Large Cap (Growth)	C	C-	C		Y	1,000
1290 SmartBeta Equity Fund Class I	TNBIX	NAS	Open End	Global Equity Large Cap (Growth)	C	C-	C		Y	1,000,000
1290 SmartBeta Equity Fund Class R	TNBRX	NAS	Open End	Global Equity Large Cap (Growth)	C	C-	C		Y	0
1290 SmartBeta Equity Fund Class T	TNBCX	NAS	Open End	Global Equity Large Cap (Growth)	C	C-	C		Y	1,000
1290 VT Convertible Securities Portfolio Class IB			Open End	Convertibles (Convertible Bond)	U	U	U		Y	0
1290 VT Convertible Securities Portfolio Class K			Open End	Convertibles (Convertible Bond)	U	U	U		Y	0
1290 VT DoubleLine Dynamic Allocation Portfolio Class IB			Open End	Moderate Alloc (Growth & Income)	U	U	U		Y	0
1290 VT DoubleLine Dynamic Allocation Portfolio Class K			Open End	Moderate Alloc (Growth & Income)	U	U	U		Y	0
1290 VT Energy Portfolio Class IB			Open End	Energy Sector Equity (Growth)	U	U	U		Y	0
1290 VT Energy Portfolio Class K			Open End	Energy Sector Equity (Growth)	U	U	U		Y	0
1290 VT Equity Income Portfolio Class IA			Open End	US Equity Large Cap Value (Growth & Income)	U	U	U		Y	0
1290 VT Equity Income Portfolio Class IB			Open End	US Equity Large Cap Value (Growth & Income)	U	U	U		Y	0
1290 VT Equity Income Portfolio Class K			Open End	US Equity Large Cap Value (Growth & Income)	U	U	U		Y	0
1290 VT GAMCO Mergers & Acquisitions Portfolio Class IA			Open End	Market Neutral (Growth)	U	U	U		Y	0
1290 VT GAMCO Mergers & Acquisitions Portfolio Class IB			Open End	Market Neutral (Growth)	U	U	U		Y	0
1290 VT GAMCO Mergers & Acquisitions Portfolio Class K			Open End	Market Neutral (Growth)	U	U	U		Y	0
1290 VT GAMCO Small Company Value Portfolio Class IA			Open End	US Equity Small Cap (Small Company)	U	U	U		Y	0
1290 VT GAMCO Small Company Value Portfolio Class IB			Open End	US Equity Small Cap (Small Company)	U	U	U		Y	0
1290 VT GAMCO Small Company Value Portfolio Class K			Open End	US Equity Small Cap (Small Company)	U	U	U		Y	0
1290 VT Low Volatility Global Equity Portfolio Class IB			Open End	Global Equity Large Cap (Growth)	U	U	U		Y	0
1290 VT Low Volatility Global Equity Portfolio Class K			Open End	Global Equity Large Cap (Growth)	U	U	U		Y	0
1290 VT Micro Cap Portfolio Class IB			Open End	US Equity Small Cap (Growth)	U	U	U		Y	0
1290 VT Micro Cap Portfolio Class K			Open End	US Equity Small Cap (Growth)	U	U	U		Y	0
1290 VT Moderate Growth Allocation Portfolio Class IB			Open End	Moderate Alloc (Asset Alloc)	U	U	U		Y	0
1290 VT Moderate Growth Allocation Portfolio Class K			Open End	Moderate Alloc (Asset Alloc)	U	U	U		Y	0
1290 VT Multi-Alternative Strategies Portfolio Class IB			Open End	Multialternative (Growth)	U	U	U		Y	0
1290 VT Multi-Alternative Strategies Portfolio Class K			Open End	Multialternative (Growth)	U	U	U		Y	0
1290 VT Natural Resources Portfolio Class IB			Open End	Natl Resources Sec Equity (Natl Res)	U	U	U		Y	0
1290 VT Natural Resources Portfolio Class K			Open End	Natl Resources Sec Equity (Natl Res)	U	U	U		Y	0
1290 VT Real Estate Portfolio Class IB			Open End	Real Estate Sector Equity (Real Estate)	U	U	U		Y	0

*Expanded analysis of this fund is included in Section II.

Fund	TOTAL RETURNS						PERFORMANCE				ASSETS		ASSET ALLOCATION & TURNOVER					BULL & BEAR		FEES		Inception Date
	1-Month Total Return	3-Month Total Return	6-Month Total Return	1-Year Total Return	3-Year Total Return	5-Year Total Return	Dividend Yield (TVM)	Expense Ratio	3-Yr Std Deviation	3-Year Beta	NAV	Total Assets (MM)	%Cash	%Stocks	%Bonds	%Other	Turnover Ratio	Last Bull Market Total Return	Last Bear Market Total Return	Front End Fee (%)	Back End Fee (%)	
								1.13			36.4	1	98	0	0				5.50		Jul-22	
	3.93	7.61						0.88			36.4	1	98	0	0						Jul-22	
	3.81	7.35						1.38			36.4	1	98	0	0						Jul-22	
50	6.50	22.76	-4.14	105.75	34.94	0	1.2	27.41	1.01	15.13	125.5	0	100	0	0	27	51.75	-15.12	5.50		Nov-14	
	6.61	22.95	-3.87	107.35	36.67	0.21	0.95	27.41	1.01	15.16	125.5	0	100	0	0	27	52.06	-15.07			Nov-14	
	6.46	22.63	-4.36	104.23	33.34	0	1.45	27.4	1.01	15	125.5	0	100	0	0	27	51.43	-15.17			Nov-14	
50	6.58	22.92	-3.89	107.31	36.67	0.21	1.2	27.41	1.01	15.16	125.5	0	100	0	0	27	52.07	-15.07	2.50	1.00	Nov-14	
50	2.56	9.77	-2.07	34.33	33.50	3.08	1.09	12.46		10.19	52.6	100	0	0	0	255	18.85	-11.75	5.50		Mar-16	
	2.64	9.91	-1.82	35.35	35.16	3.35	0.84	12.46		10.2	52.6	100	0	0	0	255	19.09	-11.7			Mar-16	
	2.48	9.61	-2.33	33.30	31.86	2.81	1.34	12.46		10.18	52.6	100	0	0	0	255	18.6	-11.81			Mar-16	
50	0.87	2.36	-10.34	10.92	-0.33	2.55	1.55	9.57	-13.41	8.65	12.7	14	41	19	11	26	13.96	-7.98	5.50		Jul-15	
	0.99	2.55	-10.07	11.82	0.92	2.81	1.3	9.58	-13.41	8.66	12.7	14	41	19	11	26	14.2	-7.92			Jul-15	
	0.87	2.30	-10.51	10.17	-1.55	2.29	1.8	9.57	-13.41	8.62	12.7	14	41	19	11	26	13.72	-8.03			Jul-15	
	3.48	9.19	-5.69	21.06	19.39	2.55	0.65	11.34	1	9.93	8.0	2	47	52	0	13	16.2	-8.48			Feb-17	
	3.56	10.13	-6.04	26.56	22.49	2.32	0.65	12.69	1	10.62	17.2	2	57	42	0	7	19.2	-9.07			Feb-17	
	3.79	11.28	-6.21	31.70	24.66	2.17	0.65	13.97	0.96	10.99	9.5	2	66	32	0	30	21.98	-9.81			Feb-17	
	3.79	11.81	-6.41	35.03	26.51	2.28	0.65	14.65	0.89	11.5	11.1	1	73	26	0	10	23.7	-10.2			Feb-17	
	3.77	12.31	-6.49	38.88	30.15	2.14	0.65	15.14	0.86	12.51	6.3	1	78	21	0	2	25.81	-10.58			Feb-17	
	3.79	12.75	-6.58	41.72	31.16	2.13	0.65	15.84	0.86	12.03	7.7	1	83	16	0	5	27.35	-10.9			Feb-17	
	3.85	13.30	-6.66	45.23	32.31	2.11	0.65	16.64	0.88	12.99	7.2	1	87	11	0	2	29.09	-11.33			Feb-17	
	3.81	13.61	-6.96	48.33	32.91	2.08	0.65	17.45	0.92	13.05	5.5	1	92	7	0	5	30.91	-11.7			Feb-17	
	3.90	14.28	-6.88	51.94	35.48	2.12	0.65	17.96	0.94	13.18	5.4	1	97	2	0	8	32.62	-12.02			Feb-17	
50	4.35	15.58	-6.00	54.51	46.07	0.81	1.1	18.09	0.87	15.04	168.9	0	99	1	0	38	33.07	-12.89	5.50		Nov-14	
	4.43	15.74	-5.76	55.69	47.93	1.06	0.85	18.1	0.87	15.07	168.9	0	99	1	0	38	33.34	-12.84			Nov-14	
50	4.33	15.48	-6.21	53.41	44.31	0.56	1.35	18.09	0.87	15.02	168.9	0	99	1	0	38	32.8	-12.95			Nov-14	
	4.47	15.78	-5.73	55.74	47.94	1.07	1.1	18.1	0.87	15.07	168.9	0	99	1	0	38	33.34	-12.84	2.50	1.00	Nov-14	
	-7.60	-5.22	-1.62	21.05	31.23	3.14	1.3	8.21	1.02		21.6	3	5	0	2	35					Oct-13	
	-7.53	-5.05	-1.45	21.98	32.86	3.38	1.05	8.23	1.02		21.6	3	5	0	2	35					Oct-13	
	-4.86	-1.29	-0.50	14.47	16.45	0.55	1.25	5.91			56.3	4	23	72	0	175					Aug-12	
	-4.77	-1.12	-0.17	15.41	17.90	0.79	1	5.89			56.3	4	23	72	0	175					Aug-12	
	-15.31	-14.63	-3.68	-3.58	-29.73	2.41	0.9	19.81	1.06		5.1	1	99	0	0	20					Oct-13	
	-15.40	-14.61	-3.60	-3.00	-28.97	2.64	0.65	19.76	1.06		5.1	1	99	0	0	20					Oct-13	
	-6.71	-2.20	0.70	23.98	37.77	1.65	1	9.74	1.01		577.5	2	98	0	0	41	2,393.58	-1,777.00			Dec-04	
	-6.68	-2.19	0.70	24.08	37.65	1.65	1	9.73	1.01		577.5	2	98	0	0	41	2,404.97	-1,791.30			Dec-98	
	-6.70	-2.03	0.95	24.93	39.36	1.89	0.75	9.79	1.01		577.5	2	98	0	0	41	2,393.58	-1,775.08			Aug-11	
	-2.26	0.34	-0.04	12.09	18.44	0.17	1.34	5.51	0.47		221.1	15	84	0	0	138	585.78	-542.91			Jun-07	
	-2.20	0.42	0.02	12.16	18.45	0.17	1.34	5.48	0.47		221.1	15	84	0	0	138	578.42	-553.08			May-03	
	-2.16	0.49	0.20	12.97	19.91	0.41	1.09	5.49	0.47		221.1	15	84	0	0	138	602.61	-551.76			Aug-11	
	-11.01	-7.10	-4.10	26.89	33.81	0.62	1.08	13.04	0.85		3,089	3	97	0	0	10	2,592.18	-2,309.31			Jul-07	
	-11.00	-7.10	-4.10	26.88	33.82	0.62	1.08	13.04	0.85		3,089	3	97	0	0	10	2,586.77	-2,319.35			Aug-88	
	-10.94	-6.98	-3.86	27.85	35.51	0.86	0.83	13.03	0.85		3,089	3	97	0	0	10	2,601.41	-2,316.76			Aug-11	
	-3.34	0.10	1.89	27.53	40.08	2.55	0.9	7.58	0.95		9.9	1	99	0	0	34					Oct-13	
	-3.25	0.27	2.14	28.59	41.94	2.8	0.65	7.55	0.94		9.9	1	99	0	0	34					Oct-13	
	-15.02	-7.12	7.85	37.74		0	1.15	18.07	1.06		145.3	0	99	0	1	58					Apr-14	
	-14.89	-6.94	8.15	38.75		0.21	0.9	18.12	1.07		145.3	0	99	0	1	58					Apr-14	
	2.36	8.30						1.1			11.4	2	60	38	0							Jan-19
	2.45	8.40						0.85			11.4	2	60	38	0							Jan-19
	-2.55	-2.94	-1.92					1.65			4.9	32	28	21	11	0						Nov-17
	-2.45	-2.74	-1.58					1.4			4.9	32	28	21	11	0						Nov-17
	-10.44	-11.24	-2.34	23.49	-11.33	2.57	0.9	15.78	0.99		20.2	1	99	0	0	13						Feb-13
	-10.42	-11.12	-2.10	24.36	-10.20	2.8	0.65	15.77	0.99		20.2	1	99	0	0	13						Feb-13
	-2.75	1.01	0.44	13.74	29.80	3.31	0.91	10.3	0.99		27.0	0	99	0	1	30						Feb-13

Fund Name	MARKET		FUND TYPE, CATEGORY & OBJECTIVE		RATINGS			MINIMUMS		
	Ticker Symbol	Traded On	Fund Type	Category and (Prospectus Objective)	Overall Rating	Reward Rating	Risk Rating	Recent Up/Downgrade	Open to New Investors	Min Initial Investment
1290 VT Real Estate Portfolio Class K			Open End	Real Estate Sector Equity (Real Estate)	U	U	U		Y	0
1290 VT Small Cap Value Portfolio Class IB			Open End	US Equity Small Cap (Small Company)	U	U	U		Y	0
1290 VT Small Cap Value Portfolio Class K			Open End	US Equity Small Cap (Small Company)	U	U	U		Y	0
1290 VT SmartBeta Equity Portfolio Class IB			Open End	Global Equity Large Cap (Equity-Income)	U	U	U		Y	0
1290 VT SmartBeta Equity Portfolio Class K			Open End	Global Equity Large Cap (Equity-Income)	U	U	U		Y	0
1290 VT Socially Responsible Portfolio Class IA			Open End	US Equity Large Cap Growth (Growth)	U	U	U		Y	0
1290 VT Socially Responsible Portfolio Class IB			Open End	US Equity Large Cap Growth (Growth)	U	U	U		Y	0
13D Activist Fund Class A	DDDAX	NAS	Open End	US Equity Mid Cap (Growth)	C	C+	D+		Y	2,500
13D Activist Fund Class C	DDDCX	NAS	Open End	US Equity Mid Cap (Growth)	C	C+	D+		Y	2,500
13D Activist Fund Class I	DDDIX	NAS	Open End	US Equity Mid Cap (Growth)	C	C+	D+		Y	1,000,000
1789 Growth and Income Fund Class C	PSECX	NAS	Open End	US Equity Large Cap Growth (Growth & Income)	C+	B-	C		Y	2,000
1789 Growth and Income Fund Class P	PSEPX	NAS	Open End	US Equity Large Cap Growth (Growth & Income)	C+	B-	C		Y	2,000
1919 Financial Services Fund Class A	SBFAX	NAS	Open End	Financials Sector Equity (Financial)	C	C	C-		Y	1,000
1919 Financial Services Fund Class C	SFSLX	NAS	Open End	Financials Sector Equity (Financial)	C	C	C-		Y	1,000
1919 Financial Services Fund Class I	LMRIX	NAS	Open End	Financials Sector Equity (Financial)	C	C	C-		Y	1,000,000
1919 Socially Responsive Balanced Fund Class A	SSIAx	NAS	Open End	Moderate Alloc (Balanced)	C-	D+	C		Y	1,000
1919 Socially Responsive Balanced Fund Class C	SESLX	NAS	Open End	Moderate Alloc (Balanced)	C-	D+	C		Y	1,000
1919 Socially Responsive Balanced Fund Class I	LMRNx	NAS	Open End	Moderate Alloc (Balanced)	C-	D+	C		Y	1,000,000
AAM/Bahl & Gaynor Income Growth Fund Class A	AFNAX	NAS	Open End	US Equity Large Cap Blend (Equity-Income)	C	C	C+		Y	2,500
AAM/Bahl & Gaynor Income Growth Fund Class C	AFYCX	NAS	Open End	US Equity Large Cap Blend (Equity-Income)	C	C	C		Y	2,500
AAM/Bahl & Gaynor Income Growth Fund Class I	AFNIX	NAS	Open End	US Equity Large Cap Blend (Equity-Income)	C	C	C+		Y	25,000
AAM/Phocas Real Estate Fund Class A Shares	APRAX	NAS	Open End	Real Estate Sector Equity (Real Estate)	C	B-	D		Y	2,500
AAM/Phocas Real Estate Fund Class I Shares	APRIX	NAS	Open End	Real Estate Sector Equity (Real Estate)	C	B-	D		Y	25,000
AAMA Equity Fund	AMFEX	NAS	Open End	US Equity Large Cap Blend (Growth)	C	C	C		Y	10,000
AB All China Equity Portfolio Advisor Class	ACEYX	NAS	Open End	Greater China Equity (Growth)	D	D	D	Up	Y	0
AB All China Equity Portfolio Class A	ACEAX	NAS	Open End	Greater China Equity (Growth)	D	D	D	Up	Y	2,500
AB All Market Real Return Portfolio Advisor Class	AMTYX	NAS	Open End	Global Equity Large Cap (Growth & Income)	C	C	C		Y	0
AB All Market Real Return Portfolio Class 1	AMTOX	NAS	Open End	Global Equity Large Cap (Growth & Income)	C	C	C		Y	5,000
AB All Market Real Return Portfolio Class 2	AMTTX	NAS	Open End	Global Equity Large Cap (Growth & Income)	C	C	C		Y	5,000,000
AB All Market Real Return Portfolio Class A	AMTAX	NAS	Open End	Global Equity Large Cap (Growth & Income)	C	C	C		Y	2,500
AB All Market Real Return Portfolio Class C	ACMTX	NAS	Open End	Global Equity Large Cap (Growth & Income)	C	C	C		Y	2,500
AB All Market Real Return Portfolio Class I	AMTIX	NAS	Open End	Global Equity Large Cap (Growth & Income)	C	C	C		Y	2,000,000
AB All Market Real Return Portfolio Class K	AMTKX	NAS	Open End	Global Equity Large Cap (Growth & Income)	C	C	C		Y	0
AB All Market Real Return Portfolio Class R	AMTRX	NAS	Open End	Global Equity Large Cap (Growth & Income)	C	C	C		Y	0
AB All Market Real Return Portfolio Class Z	AMTZX	NAS	Open End	Global Equity Large Cap (Growth & Income)	C	C	C		Y	2,000,000
AB All Market Total Return Portfolio Advisor Class	ABWYX	NAS	Open End	Moderate Alloc (Balanced)	D+	D	C-	Up	Y	0
AB All Market Total Return Portfolio Class A	ABWAX	NAS	Open End	Moderate Alloc (Balanced)	D+	D	C-	Up	Y	2,500
AB All Market Total Return Portfolio Class C	ABWCX	NAS	Open End	Moderate Alloc (Balanced)	D	D	D+		Y	2,500
AB All Market Total Return Portfolio Class I	ABWIX	NAS	Open End	Moderate Alloc (Balanced)	D+	D	C-	Up	Y	0
AB All Market Total Return Portfolio Class K	ABWXX	NAS	Open End	Moderate Alloc (Balanced)	D+	D	C-	Up	Y	0
AB All Market Total Return Portfolio Class R	ABWRX	NAS	Open End	Moderate Alloc (Balanced)	D+	D	C-	Up	Y	0
AB Concentrated Growth Fund Advisor Class	WPSGX	NAS	Open End	US Equity Large Cap Growth (Growth)	C	B-	C-		Y	0
AB Concentrated Growth Fund Class A	WPASX	NAS	Open End	US Equity Large Cap Growth (Growth)	C	B-	C-		Y	2,500
AB Concentrated Growth Fund Class C	WPCSX	NAS	Open End	US Equity Large Cap Growth (Growth)	C	B-	C-		Y	2,500
AB Concentrated Growth Fund Class I	WPSIX	NAS	Open End	US Equity Large Cap Growth (Growth)	C	B-	C-		Y	2,000,000
AB Concentrated Growth Fund Class K	WPSKX	NAS	Open End	US Equity Large Cap Growth (Growth)	C	B-	C-		Y	0
AB Concentrated Growth Fund Class R	WPRSX	NAS	Open End	US Equity Large Cap Growth (Growth)	C	B-	C-		Y	0
AB Concentrated Growth Fund Class Z	WPSZX	NAS	Open End	US Equity Large Cap Growth (Growth)	C	B-	C-		Y	2,000,000
AB Concentrated International Growth Portfolio Advisor Cls	CIGYX	NAS	Open End	Global Equity Large Cap (Growth)	D+	D	D+	Up	Y	0
AB Concentrated International Growth Portfolio Class A	CIAGX	NAS	Open End	Global Equity Large Cap (Growth)	D+	D	D+	Up	Y	2,500
AB Concentrated International Growth Portfolio Class C	CICGX	NAS	Open End	Global Equity Large Cap (Growth)	D+	D	D+	Up	Y	2,500
AB Core Opportunities Fund Advisor Class	ADGYX	NAS	Open End	US Equity Large Cap Blend (Growth)	C	C	C		Y	0

*Expanded analysis of this fund is included in Section II.

Additional Investment	TOTAL RETURNS			PERFORMANCE					ASSETS		ASSET ALLOCATION & TURNOVER					BULL & BEAR		FEES		Inception Date		
	1-Month Total Return	3-Month Total Return	6-Month Total Return	1-Year Total Return	3-Year Total Return	5-Year Total Return	Dividend Yield (TM)	Expense Ratio	3-Yr Std Deviation	3-Year Beta	NAV	Total Assets (MIL)	%Cash	%Stocks	%Bonds	%Other	Turnover Ratio	Last Bull Market Total Return	Last Bear Market Total Return		Front End Fee (%)	Back End Fee (%)
	1.10	0.69	14.46	31.38	3.55	0.66	10.3	0.99			27.0	0	99	0	1	30						Feb-13
	-17.74	-11.29	0.42	30.55		0.5	1.16	12.73	0.81		312.3	0	100	0	0	23						Apr-14
	-17.73	-11.20	0.67	31.53		0.72	0.91	12.71	0.81		312.3	0	100	0	0	23						Apr-14
	-4.92	-0.91	0.91	25.58	40.73	1.23	1.15	8.18	0.82		20.8	1	99	0	0	42						Oct-13
	-4.91	-0.83	1.15	26.58	42.54	1.46	0.9	8.16	0.82		20.8	1	99	0	0	42						Oct-13
	-7.45	-1.91	3.73	29.96	56.20	0.95	0.94	9.96	1		148.7	0	100	0	0	13	2,637.43	-1,645.73				Oct-02
	-7.44	-1.94	3.71	30.00	56.25	0.97	0.94	9.97	1		148.7	0	100	0	0	13	2,614.24	-1,645.41				Sep-99
500	4.89	15.47	-12.73	83.74	34.90	0	1.76	25.7	0.96	20.77	196.2	5	95	0	0	38	50.37	-13.26	5.75			Dec-11
500	4.67	15.04	-13.39	79.64	29.90	0	2.51	25.7	0.96	19.92	196.2	5	95	0	0	38	49.46	-13.44		1.00		Dec-12
	4.97	15.64	-12.53	85.17	36.57	0	1.51	25.72	0.96	21.53	196.2	5	95	0	0	38	50.69	-13.2				Dec-11
100	1.36	10.30	-6.20	44.56	32.61	0.55	2.04	15.71	0.7	15.06	31.3	20	80	0	0	14	29.01	-9.39		1.00		Jan-11
100	1.63	10.83	-5.25	49.00	39.43	0.69	1.04	15.71	0.7	15.46	31.3	20	80	0	0	14	30.1	-9.16				Aug-13
50	-11.83	-5.60	-20.24	57.56	8.04	0.82	1.36	24.49	0.95	24.81	170.8	2	98	0	0	4	54.98	-13.61	5.75			Nov-98
50	-11.99	-5.91	-20.80	54.17	4.20	0	2.07	24.47	0.95	22.38	170.8	2	98	0	0	4	54.1	-13.76		1.00		Nov-98
	-11.77	-5.44	-20.04	58.80	9.53	1.06	1.09	24.5	0.95	25.09	170.8	2	98	0	0	4	55.32	-13.58				Mar-08
50	5.09	9.00	-9.35	37.56	45.78	0.17	0.97	15.73	0.74	24.13	678.5	1	67	32	0	13	24.55	-13.46	5.75			Nov-92
50	4.92	8.61	-10.03	34.70	40.75	0	1.69	15.73	0.74	23.88	678.5	1	67	32	0	13	23.76	-13.6		1.00		May-93
	5.15	9.13	-9.14	38.67	47.90	0.43	0.72	15.73	0.74	24.15	678.5	1	67	32	0	13	24.8	-13.39				Jul-08
500	-0.39	12.24	-5.39	58.67	50.24	1.74	1.06	18.2	0.84	21.96	1,948	0	99	0	1	12	30.75	-10.04	5.50			Jul-12
500	-0.58	11.86	-6.10	55.13	44.70	1.01	1.81	18.18	0.84	21.72	1,948	0	99	0	1	12	29.9	-10.17		1.00		Jan-13
5,000	-0.33	12.46	-5.14	59.83	52.11	1.99	0.81	18.19	0.84	22.02	1,948	0	99	0	1	12	30.99	-9.94				Jul-12
500	1.39	3.67	-25.23	39.90	32.14	1.25	1.15	23.09	0.95	32.56	29.2	2	98	0	1	17	42.42	-18.4	5.50			Aug-18
5,000	1.46	3.80	-25.04	40.44	33.42	1.55	0.9	23.09	0.95	32.77	29.2	2	98	0	1	17	42.66	-18.35				Sep-06
	5.25	14.18	-7.31	57.88	49.02	0.67	1.17	18.07	0.86	15.22	385.5	8	92	0	0	5	32.32	-13.77				Jun-17
	4.95	13.89	-14.52	-4.53		1.37	1.25	25.94	0.99	8.48	120.9	0	100	0	0	57	2.16	0.81				Jul-18
50	4.95	13.87	-14.70	-5.19		1.08	1.5	25.93	0.99	8.47	120.9	0	100	0	0	57	1.95	0.71	4.25			Jul-18
	0.46	8.47	-11.06	69.51	26.46	8.35	0.94	18.57	0.6	8.7	1,026	24	76	0	1	79	41.44	-11.22				Mar-10
	0.47	8.45	-11.16	69.14	25.89	8.33	1.11	18.62	0.6	8.52	1,026	24	76	0	1	79	41.51	-11.25				Mar-10
	0.45	8.50	-11.01	70.44	27.51	8.38	0.84	18.61	0.6	8.76	1,026	24	76	0	1	79	41.79	-11.24				Mar-10
50	0.46	8.34	-11.24	68.34	24.74	8.1	1.19	18.62	0.6	8.72	1,026	24	76	0	1	79	41.12	-11.29	4.25			Mar-10
50	0.22	8.02	-11.94	64.50	20.27	7.44	1.97	18.6	0.6	8.91	1,026	24	76	0	1	79	40.41	-11.4		1.00		Mar-10
	0.46	8.52	-10.96	70.10	27.36	8.52	0.89	18.66	0.6	8.59	1,026	24	76	0	1	79	41.79	-11.16				Mar-10
	0.35	8.31	-11.40	68.11	24.65	8.06	1.29	18.56	0.6	8.6	1,026	24	76	0	1	79	41.18	-11.24				Mar-10
	0.34	8.11	-11.64	66.63	22.92	7.51	1.56	18.6	0.6	8.77	1,026	24	76	0	1	79	41.01	-11.34				Mar-10
	0.58	8.64	-10.94	70.32	27.51	8.52	0.86	18.62	0.6	8.6	1,026	24	76	0	1	79	41.79	-11.16				Jan-14
	3.50	9.89	-10.66	22.44	10.97	3.08	0.79	15.72	0.76	13.58	469.2	23	60	16	0	105	24.46	-12.05				Sep-03
50	3.39	9.74	-10.85	21.54	9.57	2.83	1.04	15.73	0.77	13.4	469.2	23	60	16	0	105	24.22	-12.15	4.25			Sep-03
50	3.21	9.27	-11.55	18.74	5.52	1.77	1.8	15.71	0.76	13.18	469.2	23	60	16	0	105	23.5	-12.31		1.00		Sep-03
	3.46	9.83	-10.68	22.30	10.79	3.02	0.82	15.72	0.76	13.74	469.2	23	60	16	0	105	24.44	-12.11				Mar-05
	3.33	9.69	-10.99	21.08	9.01	2.75	1.15	15.72	0.77	13.32	469.2	23	60	16	0	105	24.15	-12.15				Mar-05
	3.36	9.53	-11.17	20.05	7.36	2.45	1.46	15.74	0.77	13.21	469.2	23	60	16	0	105	23.81	-12.26				Feb-04
	4.23	14.02	-10.69	63.00	74.29	0	0.75	22.9	1.07	46.47	1,147	2	98	0	0	40	42.25	-15.94				Feb-94
50	4.16	13.88	-10.91	61.78	72.12	0	1	22.88	1.07	45.25	1,147	2	98	0	0	40	41.92	-15.99	4.25			Feb-14
50	3.95	13.45	-11.57	58.17	65.76	0	1.75	22.87	1.07	41.54	1,147	2	98	0	0	40	41.07	-16.15		1.00		Feb-14
	4.21	14.00	-10.73	62.74	74.10	0	0.81	22.91	1.07	46.53	1,147	2	98	0	0	40	42.16	-15.95				Feb-14
	4.16	13.92	-10.86	61.73	72.06	0	1.01	22.88	1.07	45.23	1,147	2	98	0	0	40	41.88	-15.97				Feb-14
	4.06	13.64	-11.23	59.95	69.29	0	1.43	22.89	1.07	43.78	1,147	2	98	0	0	40	41.49	-16.08				Feb-14
	4.23	14.04	-10.67	63.15	74.49	0	0.72	22.9	1.07	46.57	1,147	2	98	0	0	40	42.27	-15.93				Feb-14
	10.00	24.85	-8.26	25.31	6.77	0	0.87	22.98	1.06	11.1	393.5	4	96	0	0	24	27.7	-18.45				Apr-15
50	9.93	24.82	-8.43	24.31	5.43	0	1.12	22.96	1.05	10.96	393.5	4	96	0	0	24	27.5	-18.47	4.25			Apr-15
50	9.58	24.25	-9.24	21.44	1.52	0	1.87	22.97	1.06	10.4	393.5	4	96	0	0	24	26.75	-18.61		1.00		Apr-15
	4.82	14.66	-4.47	61.75	56.24	0.21	0.88	20.28	0.96	19.76	164.0	5	95	0	0	83	38.88	-13.85				Mar-10

Fund Name	MARKET		FUND TYPE, CATEGORY & OBJECTIVE		RATINGS			MINIMUMS		
	Ticker Symbol	Traded On	Fund Type	Category and (Prospectus Objective)	Overall Rating	Reward Rating	Risk Rating	Recent Up/Downgrade	Open to New Investors	Min Initial Investment
AB Core Opportunities Fund Class A	ADGAX	NAS	Open End	US Equity Large Cap Blend (Growth)	C	C	C		Y	2,500
AB Core Opportunities Fund Class C	ADGCX	NAS	Open End	US Equity Large Cap Blend (Growth)	C	C	C		Y	2,500
AB Core Opportunities Fund Class I	ADGIX	NAS	Open End	US Equity Large Cap Blend (Growth)	C	C	C		Y	2,000,000
AB Core Opportunities Fund Class K	ADGKX	NAS	Open End	US Equity Large Cap Blend (Growth)	C	C	C		Y	0
AB Core Opportunities Fund Class R	ADGRX	NAS	Open End	US Equity Large Cap Blend (Growth)	C	C	C		Y	0
AB Core Opportunities Fund Class Z	ADGZX	NAS	Open End	US Equity Large Cap Blend (Growth)	C	C	C		Y	2,000,000
AB Discovery Growth Fund Advisor Class	CHCYX	NAS	Open End	US Equity Mid Cap (Growth)	D+	D+	D+		Y	0
AB Discovery Growth Fund Class A	CHCLX	NAS	Open End	US Equity Mid Cap (Growth)	D+	D+	D+		Y	2,500
AB Discovery Growth Fund Class C	CHCCX	NAS	Open End	US Equity Mid Cap (Growth)	D+	D+	D+		Y	2,500
AB Discovery Growth Fund Class I	CHCIX	NAS	Open End	US Equity Mid Cap (Growth)	D+	D+	D+		Y	2,000,000
AB Discovery Growth Fund Class K	CHCKX	NAS	Open End	US Equity Mid Cap (Growth)	D+	D+	D+		Y	0
AB Discovery Growth Fund Class R	CHCRX	NAS	Open End	US Equity Mid Cap (Growth)	D+	D+	D+		Y	0
AB Discovery Growth Fund Class Z	CHCZX	NAS	Open End	US Equity Mid Cap (Growth)	D+	D+	D+		Y	2,000,000
AB Discovery Value Fund Advisor Class	ABYSX	NAS	Open End	US Equity Mid Cap (Growth)	C	C	C-		Y	0
AB Discovery Value Fund Class A	ABASX	NAS	Open End	US Equity Mid Cap (Growth)	C	C	C-		Y	2,500
AB Discovery Value Fund Class C	ABCSX	NAS	Open End	US Equity Mid Cap (Growth)	C-	C	C-	Down	Y	2,500
AB Discovery Value Fund Class I	ABSIX	NAS	Open End	US Equity Mid Cap (Growth)	C	C	C-		Y	2,000,000
AB Discovery Value Fund Class K	ABSIX	NAS	Open End	US Equity Mid Cap (Growth)	C	C	C-		Y	0
AB Discovery Value Fund Class R	ABSRX	NAS	Open End	US Equity Mid Cap (Growth)	C-	C	C-	Down	Y	0
AB Discovery Value Fund Class Z	ABSZX	NAS	Open End	US Equity Mid Cap (Growth)	C	C	C-		Y	2,000,000
AB Emerging Markets Multi-Asset Portfolio Advisor Class	ABYEX	NAS	Open End	Global Emerg Mkts (Div Emerg Mkts)	D	D	C-		Y	0
AB Emerging Markets Multi-Asset Portfolio Class A	ABAEX	NAS	Open End	Global Emerg Mkts (Div Emerg Mkts)	D	D	D+		Y	2,500
AB Emerging Markets Multi-Asset Portfolio Class C	ABCEX	NAS	Open End	Global Emerg Mkts (Div Emerg Mkts)	D	D	D+		Y	2,500
AB Emerging Markets Multi-Asset Portfolio Class I	ABIEX	NAS	Open End	Global Emerg Mkts (Div Emerg Mkts)	D	D	C-		Y	2,000,000
AB Emerging Markets Multi-Asset Portfolio Class K	ABKEX	NAS	Open End	Global Emerg Mkts (Div Emerg Mkts)	D	D	D+		Y	0
AB Emerging Markets Multi-Asset Portfolio Class R	ABREX	NAS	Open End	Global Emerg Mkts (Div Emerg Mkts)	D	D	D+		Y	0
AB Emerging Markets Multi-Asset Portfolio Class Z	ABZEX	NAS	Open End	Global Emerg Mkts (Div Emerg Mkts)	D	D	C-		Y	2,000,000
AB Emerging Markets Portfolio	SNEMX	NAS	Open End	Global Emerg Mkts (Div Emerg Mkts)	D	D	C-		Y	5,000
AB Equity Income Fund Advisor Class	AUIYX	NAS	Open End	US Equity Large Cap Value (Equity-Income)	C	C	C		Y	0
AB Equity Income Fund Class A	AUIAX	NAS	Open End	US Equity Large Cap Value (Equity-Income)	C	C	C		Y	2,500
AB Equity Income Fund Class C	AUICX	NAS	Open End	US Equity Large Cap Value (Equity-Income)	C	C	C		Y	2,500
AB Equity Income Fund Class I	AUIIX	NAS	Open End	US Equity Large Cap Value (Equity-Income)	C	C	C		Y	2,000,000
AB Equity Income Fund Class K	AUIKX	NAS	Open End	US Equity Large Cap Value (Equity-Income)	C	C	C		Y	0
AB Equity Income Fund Class R	AUIRX	NAS	Open End	US Equity Large Cap Value (Equity-Income)	C	C	C		Y	0
AB Equity Income Fund Class Z	AUIZX	NAS	Open End	US Equity Large Cap Value (Equity-Income)	C	C	C		Y	2,000,000
AB Global Core Equity Portfolio Advisor Class	GCEYX	NAS	Open End	Global Equity Large Cap (World Stock)	C-	C-	C	Up	Y	0
AB Global Core Equity Portfolio Class A	GCEAX	NAS	Open End	Global Equity Large Cap (World Stock)	C-	C-	C	Up	Y	2,500
AB Global Core Equity Portfolio Class C	GCECX	NAS	Open End	Global Equity Large Cap (World Stock)	C-	C-	C	Up	Y	2,500
AB Global Real Estate Investment Fund Advisor Class	ARSYX	NAS	Open End	Real Estate Sector Equity (Real Estate)	D+	D	D+	Up	Y	0
AB Global Real Estate Investment Fund Class A	AREAX	NAS	Open End	Real Estate Sector Equity (Real Estate)	D+	D	D+	Up	Y	2,500
AB Global Real Estate Investment Fund Class C	ARECX	NAS	Open End	Real Estate Sector Equity (Real Estate)	D+	D	D+	Up	Y	2,500
AB Global Real Estate Investment Fund Class I	AEIIX	NAS	Open End	Real Estate Sector Equity (Real Estate)	D+	D+	D+	Up	Y	2,000,000
AB Global Real Estate Investment Fund Class K	ARRKX	NAS	Open End	Real Estate Sector Equity (Real Estate)	D+	D	D+	Up	Y	0
AB Global Real Estate Investment Fund Class R	ARRRX	NAS	Open End	Real Estate Sector Equity (Real Estate)	D+	D	D+	Up	Y	0
AB Global Real Estate Investment Fund II Class I	ARIIX	NAS	Open End	Real Estate Sector Equity (Real Estate)	D+	D+	D+	Up	Y	2,000,000
AB Global Risk Allocation Fund Class A	CABNX	NAS	Open End	Moderate Alloc (Asset Alloc)	C	C-	C	Up	Y	2,500
AB Global Risk Allocation Fund Class Adv	CBSYX	NAS	Open End	Moderate Alloc (Asset Alloc)	C	C-	C		Y	0
AB Global Risk Allocation Fund Class C	CBACX	NAS	Open End	Moderate Alloc (Asset Alloc)	C-	C-	C		Y	2,500
AB Global Risk Allocation Fund Class I	CABIX	NAS	Open End	Moderate Alloc (Asset Alloc)	C	C-	C		Y	2,000,000
AB Global Risk Allocation Fund Class K	CBSKX	NAS	Open End	Moderate Alloc (Asset Alloc)	C	C-	C	Up	Y	0
AB Global Risk Allocation Fund Class R	CBSRX	NAS	Open End	Moderate Alloc (Asset Alloc)	C-	C-	C		Y	0
AB Growth Fund Advisor Class	AGRYX	NAS	Open End	US Equity Large Cap Growth (Growth)	C	C	C-		Y	0

* Expanded analysis of this fund is included in Section II.